# MICROSTRUCTURAL DEGRADATION OF CMSX-4: KINETICS AND EFFECT ON MECHANICAL PROPERTIES

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### Abstract

The  $\gamma/\gamma$ '-microstructure of nickel-base superalloys gradually degrades during high temperature loading which deteriorates the mechanical properties. In the work presented the kinetics of microstructural degradation of the superalloy CMSX-4 was investigated metallographically in a wide parameter field (T,  $\sigma$ , t). The effect of microstructural degradation on mechanical properties was determined by mechanical testing of specimens pre-annealed under load. The laboratory results were compared with the microstructure of ex-service blades of CMSX-4.

#### Introduction

Nickel-base superallovs used as blade material for gas turbines are strengthened by small intermetallic  $\gamma$ '-precipitates of the Ni<sub>3</sub>Altype. The  $\gamma$ '-precipitation provides excellent mechanical properties at high temperatures but its efficiency strongly depends on size and morphology of the  $\gamma$ '-precipitates. During high temperature service in aircraft and power gas turbines the  $\gamma/\gamma'$ microstructure of nickel-base superalloys gradually degrades, i.e. coarsens and becomes rafted [e.g. 1, 2]. This degradation of the microstructure deteriorates the mechanical properties [e.g. 3-6]. Therefore for a reliable prediction of the blade lifetime the microstructural degradation of the material has to be taken into account. This is one goal of the European Action COST 538 "High Temperature Plant Lifetime Extension" (2004-2008) in frame of which the presented work was performed. The COST 538 activities include investigations of the kinetics of microstructural degradation, the effect of microstructural degradation on mechanical properties and modeling of the mechanical behavior considering the microstructure. The high temperature material investigated by our group is the singlecrystal nickel-base superalloy CMSX-4 widely used in gas turbine industry. The present paper reports on the experimental results of our activities, the microstructurally-based mechanical model based on these results is presented in [7].

### Experimental

The characterization of the microstructural degradation in a wide parameter field (temperature *T*, stress  $\sigma$ , time *t*) typical for the service conditions of turbine blades is extremely material and time consuming. Therefore a new experimental technique was introduced: repeated load annealing of Flat Wedge shaped (FW) specimens. The geometry of the specimen differs from the conventional rod shaped specimens under two aspects. Firstly, the flat surfaces allow to observe the microstructure non-destructively after removing the surface layer and thus to continue the mechanical test after the metallographic investigation. This procedure saves not only specimens but also testing time because the starting time of test number n is the total time of the proceeding (n-1) tests. Secondly, it has a wedge shape, thus the stress changes linearly along the specimen axis. The specimen gage length is 50 mm within which the specimen width changes from 6 mm up to 10 mm, i.e. the cross-section area S changes by the shape factor  $SF=S_{max}/S_{min}=10/6=1.67$ . Hence, one specimen is sufficient to cover a whole range of times and stresses. The initial thickness of the FW specimen is 10 mm. After each load annealing (called degradation) the specimen was grinded and polished for SEM investigation, which reduces it thickness by 1 mm. The test series was terminated when the specimen thickness was reduced down to 5 mm, i.e. the applied procedure allowed to perform up to 5 successive degradation experiments accompanied by metallographic analysis of the evolving microstructure. Experimental details of this technique are presented in [8]. The FW specimens of CMSX-4 were annealed under constant

load at different temperatures in the range of 850-1050°C. The load at each temperature was chosen in such a way, that the stress in the thinnest cross-section  $\sigma_{\max}(T)$  corresponds to rupture after 10000 h. The lifetime of 10000 h is close to what is required for gas turbine aeroengines in civil aircrafts. The stress  $\sigma_{\min}(T)$  in the thickest cross-section is given by  $\sigma_{\min}(T) = \sigma_{\max}(T)/SF$ . The total testing time was up to several thousand hours. The degradation conditions of the FW specimens are listed in Table 1. Additionally three cylindrical specimens were degraded at 1050°C/68 MPa/1500 h, 950°C/110 MPa/8760 h and 850°C/230 MPa/11175 h.

Table 1. Degradation conditions of FW specimens.

Spec. No.	Т, °С	$\sigma_{\min}$ - $\sigma_{\max}$ , MPa	Accumulated time <i>t</i> , h				
1	1050	56 - 94	25	75	175	375	775
2	1000	72 - 120	50	150	350	750	1550
3	950	97 - 162	100	300	700	1500	3100
4	950	155 - 259	100	300	572	-	-
					rupture		
5	900	137 - 228	200	600	1400	3000	6200
6	850	200 - 334	500	1500	3500	7500	-

The investigation of the  $\gamma/\gamma$ '-microstructure was performed in a SEM with a computer controlled stage, which allows to position easily the SEM frame within the specimen gage at defined locations corresponding to certain stress levels. The kinetics of the microstructural degradation was characterized qualitatively by observing the transition of the  $\gamma$ '-morphology from cuboidal to rafted shape. In addition, the increase of the  $\gamma$ -channel width w

and of the microstructure period  $\lambda^{[001]} = (\gamma \text{-width} + \gamma' \text{-width})$  along the load axis [001] were measured. The main parameter is the channel width w, because it provides a measure of the degree of rafting (see below) and the Orowan back stress. The Orowan stress is important for the plastic deformation of the  $\gamma$ -matrix. The microstructure period  $\lambda^{[001]}$  is relevant, because it characterizes the global coarsening of  $\gamma/\gamma$ '-microstructure. The images were processed by the line section method using the software a4i, Olympus [9]. In order to minimize the scatter of the results due to the dendritic inhomogeneity, all images were taken in the same area of the dendrite, namely the secondary dendrite arms representing most of the material.

The second type of mechanical tests were sequential experiments: first degradation, then either tensile or low cycle fatigue (LCF) testing. The degradation was performed at different temperatures between 850°C and 1100°C. After degradation the specimen surface was grinded to remove the oxide layer, which could influence the results of the second test. The tensile tests were performed at temperatures within the interval 20-950°C with a strain rate of about 5%/min. The LCF tests were carried out under completely reversed strain controlled cyclic loading at the temperatures 700, 750 and 950°C.

Small, un-cooled ex-service blades of CMSX-4, which passed 12700 h of operation in a small industrial gas turbine, were used as case study. The investigation included the metallographic inspection of different parts of the blades as well as room temperature tensile tests of mini specimens excised from these blades.

#### Results

### Kinetics of rafting

The large scatter of the results did not allow an unambiguous identification of a specific growth function  $w = f_w(t)$ . Therefore as a first approximation a linear function was used. However, from the data obtained it follows that a single straight line could not fit the whole time range, which is not surprising, since two successive processes govern degradation. At the beginning the dominant process is rafting [e.g. 10, 11]. Here the  $\gamma$ -channels parallel to the load direction become narrow and disappear, the perpendicular channels widen. This results in the formation of rafted  $\gamma/\gamma$ '-microstructure where the  $\gamma$ '-rafts are separated by  $\gamma$ channels much wider than the initial channel width  $w_0$ . The kinetics of rafting of the cuboidal  $\gamma/\gamma'$ -microstructure was characterized by the widening rate  $\dot{w}_{cub}$  of the perpendicular channels. After rafting has completed the second process becomes dominant, namely coarsening of the rafted microstructure controlled by the migration of  $\gamma$ '-terminations according to the Graham-Kraft model [12]. The kinetics of coarsening of the rafted  $\gamma/\gamma$ '-microstructure was characterized by the channel widening rate  $\dot{w}_{raft}$ . Fig. 1a shows the increase of channel width in CMSX-4 during load annealing at 950°C and 110 MPa. The dependence  $w = f_w(t)$  is fitted by two straight lines with the slopes  $\dot{w}_{cub}$  and  $\dot{w}_{raft}$ . It is seen that the widening rate slows down after rafting is completed ( $\dot{w}_{plate} < \dot{w}_{cub}$ ), which in agreement with the wellknown fact that the plate-like microstructure is more stable than the cuboidal one [e.g. 13].



Fig. 1. The kinetics of widening of  $\gamma$ -channels in [001] singlecrystals of CMSX-4.

(a) – Increase of the channel width w during load annealing at 950°C, 110 MPa.

(b) – Widening rate during rafting  $\dot{w}_{cub}$  as a function of temperature and stress.

The transition from rafting to coarsening has been determined by defining a fixed ordinate  $w = w_{raft}$ , where rafting is completed and then fitting the time of rafting  $t_{raft}$  and the slopes of the lines.  $w_{raft}$  was calculated according to geometrical considerations [14]: (1)

 $w_{raft} = c \cdot w_0$ 

with

$$c = \frac{\lambda_{raft}^{[001]}}{\lambda_0^{(001)}} \frac{1 - V_{raft}'}{1 - \sqrt[3]{V_0'}}$$
(2)

 $\lambda_0^{[001]} = \lambda^{[001]} (t=0) \quad \text{and} \quad \lambda_{raft}^{[001]} = \lambda^{[001]} (t_{raft}) \quad \text{are} \quad \text{the}$ where, microstructure periods at start and end of rafting,  $V'_0 = V'(t = 0)$ and  $V'_{raft} = V'(t_{raft})$  the corresponding  $\gamma$ '-volume fractions. From our measurements it follows that  $\lambda^{[001]}$ , characterizing the global coarsening of the microstructure according to LSW-mechanism, did not change remarkably during rafting. Under the degradation conditions used here (Table 1) the ratio  $\lambda_{raft}^{[001]}/\lambda_0^{[001]}$  was in the

range 1-1.2. The volume fraction remained constant too  $V'_0 \approx V'_{raft} \approx 0.73$ . In this case formula (3) gives  $c \approx 3$ , which allows to formulate a simple criterion for the end of the rafting process: rafting ends when the channel width w achieves  $3w_0$ . This "3  $w_0$  -criterion" is in agreement with our metallographic observations.

The dependence of the widening rate  $\dot{w}_{cub}$  on *T* and  $\sigma$  is shown in Fig. 1b. These results were fitted by the Arrhenius formula for processes activated by temperature and stress:

$$\dot{w}_{cub}(T,\sigma) = A \cdot \exp\left[-\frac{Q - U(T) \cdot \sigma}{RT}\right]$$
 (3)

where A is a pre-exponential factor, Q the activation energy, R the universal gas constant and U(T) the temperature dependent activation volume described by a power function  $U(T) = U_T (T - T_0)^n$  for  $T > T_0$ . The fitted parameters  $A=9.31 \cdot 10^4$ µm/h, Q=221780 J/mol,  $U_T=0.19$  J/(mol·MPa·K<sup>n</sup>),  $T_0=1100.7$ K (827.7°C), n=1.294 are temperature and stress independent. Comparison of the experimental points for  $\dot{w}_{cub}$  with the fitting curves (Fig. 1b) shows good agreement. From the "3  $w_0$  -criterion" follows:

$$t_{raft} \approx 2w_0 / \dot{w}_{cub} \tag{4}$$

which allows to predict the rafting time  $t_{raft}$  as:

$$t_{raft}(T,\sigma) \approx \frac{2w_0}{A} \cdot \exp\left[\frac{Q - U(T) \cdot \sigma}{RT}\right]$$
 (5)

The shape of the function  $t_{raft} = f_t(T,\sigma)$  with the obtained fit parameters A, Q,  $U_T$ ,  $T_0$ , n is shown in Fig. 2.



Fig. 2. Time for complete raft formation as a function of temperature and stress. The solid line shows the  $(T,\sigma)$ -field of the degradation experiments.

### Effect of degradation on tensile properties

It was found that at all investigated temperatures (20-950°C) the degradation generally deteriorates the tensile properties, i.e. decreases the yield stress (YS) and the ultimate tensile stress

(UTS). Figs 3a and b show the effect of degradation on the shape of the tensile stress-strain diagrams at room temperature and 950°C respectively. It is seen that in both cases the degradation changes the stress-strain curves and these changes are stronger for higher degradation temperatures. The first important change is a decrease in YS, which is especially pronounced at room temperature. After 1050°C/68 MPa/2500 h degradation YS at 20°C decreases from 952 MPa down to 630 MPa, so 34%. The effect of this degradation on YS at 950°C, as shown in Fig. 3b, is essentially smaller, about 18%. It is remarkable that at room temperature the degraded material shows work hardening, i.e. an ascending stress-strain curve in the region of plastic flow (Fig. 3a), while at 950°C degradation has the opposite effect (Fig. 3b). The consequence of this work softening at 950°C is a reduction in UTS, e.g. after 1050°C/68 MPa/2500h degradation from 891 MPa<sup>1</sup> down to 678 MPa, so 24%. Thus degradation reduces UTS at high temperatures, whereas at lower temperatures the deteriorative effect on YS is stronger.



Fig. 3. The initial parts of tensile curves (up to 2% strain) for virgin and degraded material. The degradation conditions are marked in the graphics.

(a)- Tensile tests at room temperature.

(b) - Tensile tests at 950°C.

<sup>&</sup>lt;sup>1</sup> Maximum on the stress-strain curve for virgin material is out of the 2%-strain range presented in Fig. 3b.

## Effect of degradation on LCF behaviour

CMSX-4 degraded at 1100°C/90 MPa showed in strain controlled LCF tests at 700°C with a strain amplitude  $\Delta \varepsilon/2 = 0.625\%$  that even after a short degradation time of about 100 h the number N of cycles to failure decreased by a factor of about 8. From the creep curves recorded during the degradation tests follows that 100 h correspond to the end of primary creep. Metallographic inspection of the degraded specimens shows that at this time the  $\gamma^2$ -raft formation is completed. After the rafted structure has formed N retains almost constantly this low level until the end of secondary creep, which corresponds to 1800 h for these degradation conditions.



Fig. 4. Effect of degradation on the number of cycles to failure N under cyclic, strain controlled loading ( $R_{\varepsilon} = -1$ ) at 750°C (a) and 950°C (b). Black symbols and solid lines correspond to virgin material, white symbols and dashed lines to degraded material. For comparison few additional points are included. In (a) for 700°C from our experiments. In (b) from experiments of the University Erlangen-Nürnberg [4], marked by "E". They were measured either at 950°C or at 1050°C.

Fig. 4 shows the effect of degradation at 1050 or 1100°C on the LCF lifetime, with LCF tests performed at 700-1050°C and strain amplitudes 0.3-1.1%. The presented data were mostly obtained in this work and partially taken from literature. It follows that the effect of degradation on LCF is much stronger at the lower LCF test temperature 750°C (Fig. 4a) and weaker at the higher

temperature 950°C (Fig. 4b). At these low temperatures the degradation effect becomes pronounced when the strain amplitude  $\Delta \varepsilon/2$  is smaller than the elasticity limit for virgin CMSX-4, about 0.9% in the temperature range 700-1050°C (horizontal lines in Figs 4a, b). Fitting the black symbols (virgin material) with straight lines shows, that the virgin material is more sensitive to fatigue at 950°C than at 750°C and the sensitivity is expected to be stronger for high cycle fatigue (HCF). This is a remarkable difference to the degraded material, which has almost the same LCF behavior for the investigated test temperatures.

### Ex-service blade

As a case study, small un-cooled solid blades of CMSX-4 were investigated after 12700 h service in a small industrial gas turbine. The  $\gamma/\gamma$ -microstructure of the blade was investigated in different longitudinal sections and some results are presented in Fig. 5.



Fig. 5. A blade of CMSX-4 and  $\gamma/\gamma$ '-microstructure of blade material after service.

The  $\gamma/\gamma$ '-microstructure near the blade platform (position 1 in Fig. 5) retained the initial condition, i.e. it remains cuboidal with the same period,  $\lambda^{[001]}/\lambda_0^{[001]} \approx 1$ , as one could expect from the local operation parameters 800°C/190 MPa. In the blade middle (position 2, 920°C/110 MPa) the microstructure is completely rafted and somewhat coarsened,  $\lambda_0^{[001]}/\lambda_0^{[001]} \approx 1.3$ . The  $\gamma$ '-rafts are long and always oriented perpendicular to the blade axis. In the blade tip (position 3, 980°C/30 MPa) the microstructure is also rafted but the  $\gamma$ '-rafts are thicker and shorter than in the blade middle. Most of the  $\gamma$ '-rafts are perpendicular to the blade axis but in some areas they are oriented along the blade axis. In the blade tip the microstructure is obviously coarsened. The measurement gives an increase of the microstructure period by a factor of about 2.3. The observed morphological changes generally fit with formula (5), which predicts for the considered service conditions no rafting near the blade platform and complete rafting in the blade middle and top. Cylindrical mini specimens (2 mm in diameter) were cut from the central part of blade, where temperature and stress were about 920°C/110 MPa, and tested for tension at room temperature. The tests gave yield stress of about 840 MPa, which is about 13% lower than that for virgin material (952 MPa).

#### Discussion

The kinetics of the microstructural degradation of CMSX-4 was characterized by the widening of the  $\gamma$ -channels similar to the approach used in [15]. The analytical description of the results obtained in a wide parameter field (T,  $\sigma$ , t) made it possible to derive a formula for the evaluation of the rafting time  $t_{raft}$  (5) based on the " $3w_0$ -criterion" for the end of rafting. The function  $t_{raft} = f_t(T, \sigma)$  has similarity with the well-known Larson-Miller dependence  $p(\sigma) = T(C + \log t)$ , allowing to calculate creep rupture lifetime t as a function of temperature T and stress  $\sigma$ . This is not surprising, because creep and microstructure evolution are closely connected. Both dependencies  $t_{raft} = f_t(T,\sigma)$  and  $p(\sigma) = T(C + \log t)$  follow from the generalized Arrhenius formula describing the kinetics of temperature activated processes under stress. The " $3w_0$ -criterion", used to calculate  $t_{raft}$ , assumes that the microstructure period  $\lambda^{[001]}$  does not change significantly during rafting, which is valid for the analyzed parameter field (T,  $\sigma$ , t). This hypothesis works satisfyingly for stresses above a certain level as in the case of the lower and middle parts of the investigated blades, where  $\lambda_0^{[001]}/\lambda_0^{[001]} \le 1.3$ . The fact, that e.g. in the blade middle the microstructure period  $\lambda^{[001]}$  increased by the factor 1.3 does not necessarily mean, that here the " $3w_0$ criterion" can not be applied, because the time for rafting,  $t_{raft} \sim 100$  h (see Fig. 1a), is much shorter than 12700 h and therefore the microstructure period  $\lambda_{raft}^{[001]}(t_{raft})$  is smaller than  $\lambda^{[001]}$  (12700 h). For very low stresses however the rafting process is very slow and therefore there is time enough for the cuboidal microstructure to coarsen significantly before the cuboids coalesce, which results in a clear increase of  $\lambda^{[001]}$ . This is typical for the parts of the blade where temperatures are high but stresses very low, e.g. for the tip of the blade (see Fig. 5 left-top), where  $\lambda_{0}^{[001]}/\lambda_{0}^{[001]}$  was found to be significantly larger, about 2.3. For such a special degradation condition the " $3w_0$ -criterion" and consequently formula (5) have be modified by taking into account the change of  $\lambda^{[001]}$  but this requires additional investigations at very low stress levels. The  $\gamma$ '-coarsening during rafting is considered in [16].

In our work degradation means *load annealing*. The explanation of the observed reduction of YS, especially at room temperature, is not simple, because several microstructural changes taking place, namely  $\gamma$ -channel widening, loss of interfacial coherency, coalescence of the  $\gamma$ '-cubes and a topological inversion<sup>2</sup>. To identify the relevant mechanism, comparison with degradation caused by *load free annealing* [e.g. 18-20] could be helpful. Here a very similar reduction in YS was found but of course without rafting and topological inversion. So it can be concluded that the global coarsening of the microstructure, characterized by the increase of  $\lambda^{[001]}$ , and the loss of coherency, measured e.g. by the increase of the  $\gamma/\gamma^2$ -misfit  $\delta$ , are the decisive factors. However a physical explanation of the reduction of YS observed at low temperatures as well as the material softening at high temperatures is not possible without detailed investigations of the deformation mechanisms, which are temperature and stress dependent.

The decisive factor for LCF strength however is rafting. It is well known that the fatigue strength of plate-like structures is lower under cyclic loading including tension perpendicular to the plate plane. The reason for this effect is the fast growth of fatigue cracks along the interface between the plates, which is generally weaker than the bulk material. Such a material behavior is reported for the superalloy CMSX-2 [3], where the growth rate of fatigue cracks was found to increase by several times when the material becomes rafted. The preferable crack growth along the extended  $\gamma/\gamma$ '-interfaces was confirmed metallographically [4, 5]. So from general considerations about plate-like structures and the results above [3-5] it is reasonable to assume that for the LCF properties of superalloys the relevant point is the  $\gamma$ '-morphology, cuboidal or rafted. This is confirmed by our finding that after primary creep at 1100°C, so when rafting is completed, the number of cycles to failure has strongly decreased, but then remains nearly constant until the end of secondary creep.

Two questions regarding the results presented in Fig. 4 are not quite clear:

1. Why is the rafting effect on LCF pronounced only at the low temperatures  $700^{\circ}$ C and  $750^{\circ}$ C and diminishes at the high temperatures  $950^{\circ}$ C and  $1050^{\circ}$ C?

2. Why does the difference between virgin and degraded material strongly increase for low strain amplitudes, i.e. in the field of macroscopically elastic straining?



Fig. 6. Crack nucleation from a pore in pre-rafted CMSX-4 during LCF test at 700°C.

The first question is difficult, because several material parameters as well as deformation mechanisms remarkably change in the considered temperature range 700-1050°C. For example, when temperature rises from 700°C up to 1050°C the superalloy ductility increases by a factor of about 10, while the YS and UTS

 $<sup>^{2}</sup>$   $\gamma$ '-phase forms junctions and becomes the matrix [17].

significantly decrease. At lower temperatures the main mechanism of plastic deformation is gliding, at high temperatures climbing controlled creep is involved. For the second question an answer could be, that rafting has an even stronger effect on crack nucleation than on crack propagation. At small strain amplitudes  $\Delta \varepsilon/2$  when most of the material deforms elastically without damage the decisive factor for the fatigue lifetime becomes the local damage at structural inhomogeneities acting as stress concentrators. In single-crystal superalloys these critical defects are micropores, as could be shown by HIP (hot isostatical pressure) experiments. Fatigue tests performed under the conditions of macroscopically elastic straining (700°C,  $\Delta \varepsilon/2 = 0.625\%$ ,  $R_c = -1$ ) gave for the UNHIPPed specimen  $16 \cdot 10^3$  cycles to failure, whereas the test of the HIPPed specimen was interrupted after  $180 \cdot 10^3$  cycles (no rupture). It is reasonable to expect that in rafted material the extended incoherent raft interfaces which crop out the pore surface (Fig. 6) facilitate crack initiation and hereby reduce fatigue lifetime.

### Conclusions

The following conclusions can be drawn from this work:

1. For the first time the kinetics of the microstructural degradation of CMSX-4 could be characterized in a wide field of  $(T, \sigma, t)$  and described analytically. This was possible due to a new technique, namely repeated load annealing of flat wedge shaped (FW) specimens. On the base of the obtained results a " $3w_0$ -criterion" for rafting was proposed and a formula for the rafting time derived.

2. The microstructural degradation of CMSX-4 results in a deterioration of the tensile properties: reduction of YS, pronounced at low temperatures, and material softening, pronounced at high temperatures. The effect on the tensile properties is stronger for higher degradation temperatures.

3. The deteriorative effect of degradation on LCF lifetime was found to be pronounced at the low temperatures 700 and 750°C. The effect increases when lowering the strain amplitude  $\Delta \varepsilon/2$ down to the field of macroscopically elastic straining. Therefore it should be expected that this deterioration effect is stronger for HCF. For the fatigue lifetime mostly the change of the  $\gamma$ 'morphology (cuboidal->rafted) is important.

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